

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number 500585.20005

First Inventor or Application Identifier Thomas Fuhrmann

Title Light Waveguide With Integrated Input Aperture For An Optical Spectrometer

Express Mail Label No. EL 758808719 US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 800 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27

3. ☒ Specification [Total Pages 13]
(preferred arrangement set forth below)
-Descriptive title of the Invention
-Cross References to Related Applications
-Statement Regarding Fed sponsored R & D
-Reference to sequence listing, a table, or computer program listing appendix
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings (if filed)
-Detailed Description
-Claim(s)
-Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets 2]

5. ☒ Oath or Declaration [Total Pages 2]

- a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see §§ 37 CFR § 1.63(d)(2) and 1.33(b)
c. ☒ Unsigned

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. CD ROM or CD ROM in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)

- b. Specification Sequence Listing on:

- i. ☐ CD-ROM or CD-r (2 copies); or

- ii. ☐ paper

- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i)
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

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	Reed Smith LLP			
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COUNTRY	US	TELEPHONE	212-521-5400	FAX 212-521-5450

Name (Print/Type) Eugene LeDonne

Registration No. (Attorney/Agent) 35,930

Signature

Date February 9, 2001

FEE TRANSMITTAL for FY 2000

Application No.	Unknown	Filing Date:	February 9, 2001
First Named Inventor	Thomas Fuhrmann	Group Art Unit:	Unknown
Examiner Name:	Unknown	Attorney Docket No.	500585.20005

METHOD OF PAYMENT (Check one)

1. ☒ **Payment Enclosed:** ☒ Check ☐ Money Order ☐ Other

2. ☐ The Director is hereby authorized to charge indicated fees to:

2a. ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17 and and credit any over payments to:

Deposit Account Number 50-1529

Deposit Account Name Reed Smith, LLP

FEE CALCULATION (fees effective 10/1/00)

1. BASIC FILING FEE

Large Entity Fee Code	(\$)	Small Entity Fee Code	(\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$710)

2. EXTRA CLAIM FEES

	**No. of Claims		Extra Claims	Fee from Below	Fee Paid
Total Claims	16	-	20	= 0	0
Independent Claims	3	-	3	= 0	0
X Multiple Dependent Claims			0	x 270 =	0

**or number previously paid, if greater; For Reissues, see below

SUBTOTAL (2) (\$ 0)

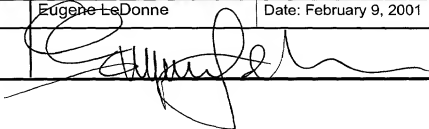
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20		
102	80	202	40	Independent claims in excess of 3		
104	270	204	135	Multiple dependent claim		
109	80	209	40	Reissue independent claims over original patent		
110	18	210	9	Reissue claims in excess of 20 and over original patent		
SUBTOTAL (3)						\$ 0

SUBMITTED BY

Typed or Printed Name Eugene LeDonne

Date: February 9, 2001

Signature



Complete (if applicable)

Reg. Number 35,930

Deposit Account User ID 50-1529

Certificate of Mailing by "Express Mail"

3c986 U.S. PTO

09/780265



EL 758808719 US

"Express Mail" label number

February 9, 2001

Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to the Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



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